



Determination of the 1 in 10, 1 in 50 and 1 in 100 Year Space Weather Event

Nigel P. Meredith¹, Richard B. Horne¹, John D. Isles¹, Juan V. Rodriguez²,
Janet C. Green³, Keith A. Ryden⁴, and Alex D. P. Hands⁴
presented by Richard M. Thorne⁵

(1) British Antarctic Survey, UK; (2) National Geophysical Data Center, Boulder, USA
(3) Space Hazards Applications LLC, Colorado, USA; (4) Surrey Space Centre, University of Surrey, UK;
(5) UCLA, Los Angeles, USA

ISROSES-III
Golden Sands, Bulgaria
11th-16th September 2016

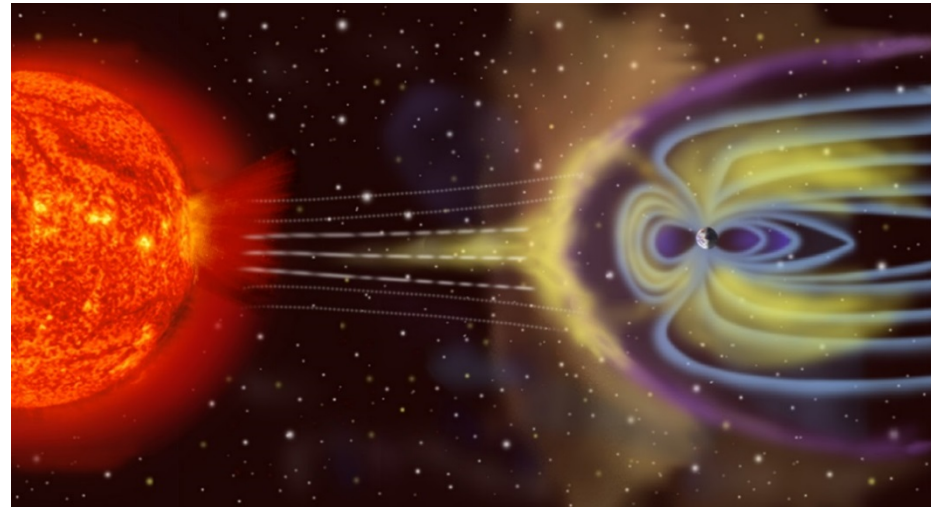


ILMATIETEEN LAITOS
METEOROLOGISKA INSTITUTET
FINNISH METEOROLOGICAL INSTITUTE



Space Weather

- The Sun is an active star
- It drives changes in the space environment
- These changes can affect technological systems both in space and on the ground

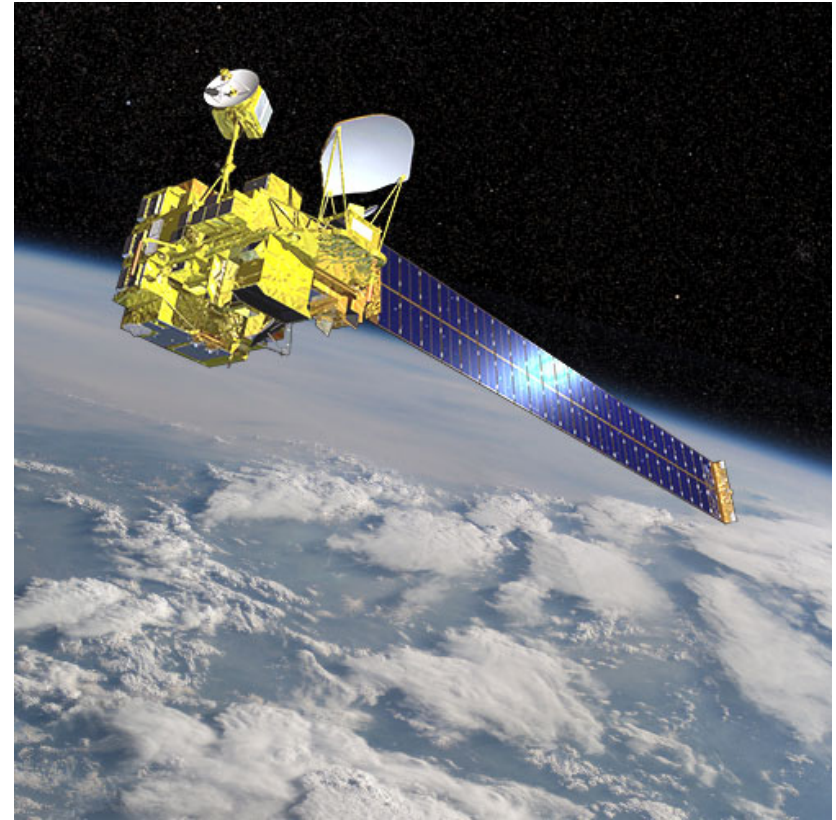


Natural Hazard Risk

- Due to our increasing reliance on modern technological systems space weather is becoming an increasingly important natural hazard risk
 - recognised as a threat to critical infrastructure
 - could significantly affect national security [SNRA, 2011]
 - added to the UK National Risk Register in 2012

Space Weather Effects on Satellites

- The impacts of space weather on satellite operations range from momentary interruptions of service to total loss of capabilities when a satellite fails
- During a major storm in 2003
 - 47 satellites experienced anomalies
 - more than 10 satellites were out of action for more than 1 day
 - the US\$ 640 M Midori-2 satellite was a complete loss



Artists impression of Midori-2 satellite

Radiation Damage

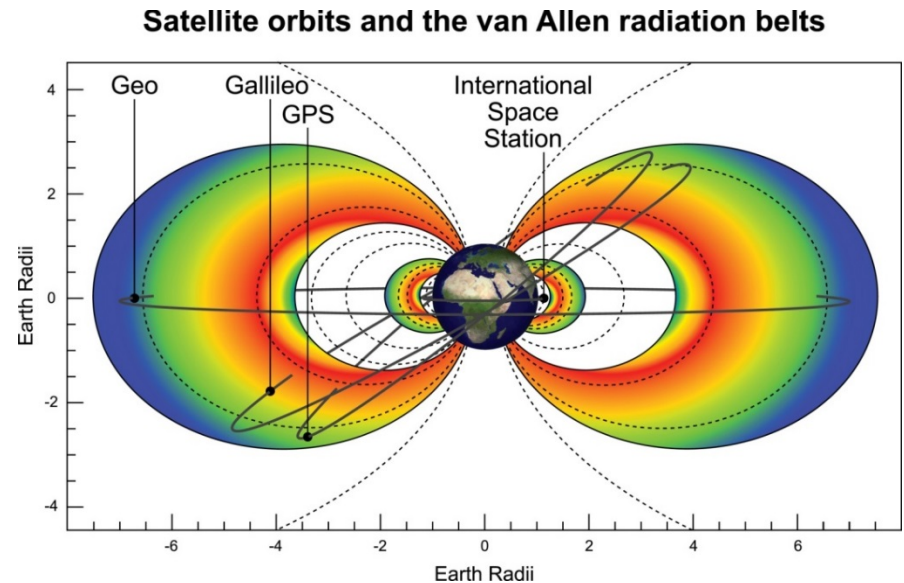
- High energy electrons ($E > \sim 100$ keV) can penetrate surface materials and embed themselves within insulators and ungrounded conductors
- The subsequent discharge can cause electronic circuit upsets and damage components
- Lower energy electrons ($E < \sim 100$ keV) can cause surface charging
- The subsequent discharge can damage surface materials and underlying components

Motivation

- Modern satellites have a life expectancy of 10-20 years.
- Satellite operators and engineers therefore require realistic estimates of the worst case environments that may occur on these and longer timescales.
- Satellite insurers also require this information to help them evaluate realistic disaster scenarios.

Extreme Space Weather Events

- As part of the EU FP7 project SPACESTORM we have conducted extreme value analyses to determine the 1 in 10, 1 in 50, and 1 in 100 space weather event for
 - relativistic electrons at GEO
 - internal charging currents at MEO
 - energetic electrons at LEO



Extreme Value Analysis

- Two main methods for extreme value analysis
 - block maxima
 - exceedances over a high threshold
- The exceedances over the threshold approach makes the best use of the available data and has been successfully applied in many fields
- For this approach the appropriate distribution function is the Generalised Pareto Distribution (GPD)

Declustering

- Values can exceed the threshold on consecutive days
- The statistical analysis requires that the individual exceedances are independent
- Technique to deal with this is known as declustering

Declustering

- Use an empirical rule to define clusters of exceedances depending on the temporal behaviour of the data
- Identify the maximum excess in each cluster
- Fit the GPD to the cluster maxima

Generalised Pareto Distribution

- The GPD may be written in the form

$$G(x-u) = 1 - (1 + \xi(x-u)/\sigma)^{-1/\xi}$$

where: x are the cluster maxima above the chosen threshold u

ξ is the shape parameter which controls the behaviour of the tail

σ is the scale parameter which determines the dispersion or spread of the distribution

- We fit the GPD to the tail of the distribution using maximum likelihood estimation

Determination of the 1 in N Year Event

- Our major objective is to determine the 1 in N year space weather event
- The value that is exceeded on average once every N years can be expressed in terms of the fitted parameters σ and ξ as:

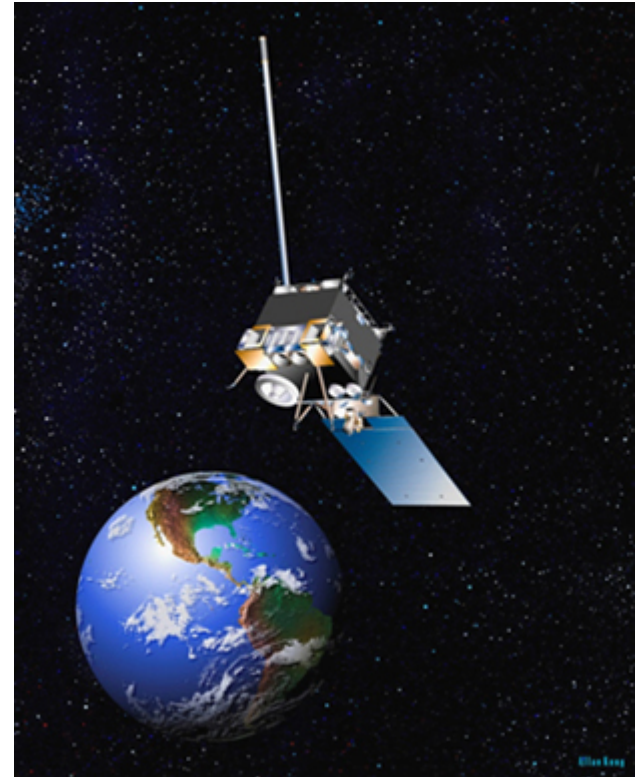
$$x_N = u + (\sigma/\xi)(Nn_d n_c/n_{\text{tot}})^\xi - 1))$$

where n_d is the number of data points in a given year, n_c is the number of cluster maxima and n_{tot} is the total number of data points

- A plot of x_N against N is known as a return level plot

Relativistic Electrons at GEO

- Use GOES E > 2 MeV electron data from 1st January 1995 to 30th June 2014
- Study uses data from GOES 8, 9, 10, 11, 12, 13 and 15

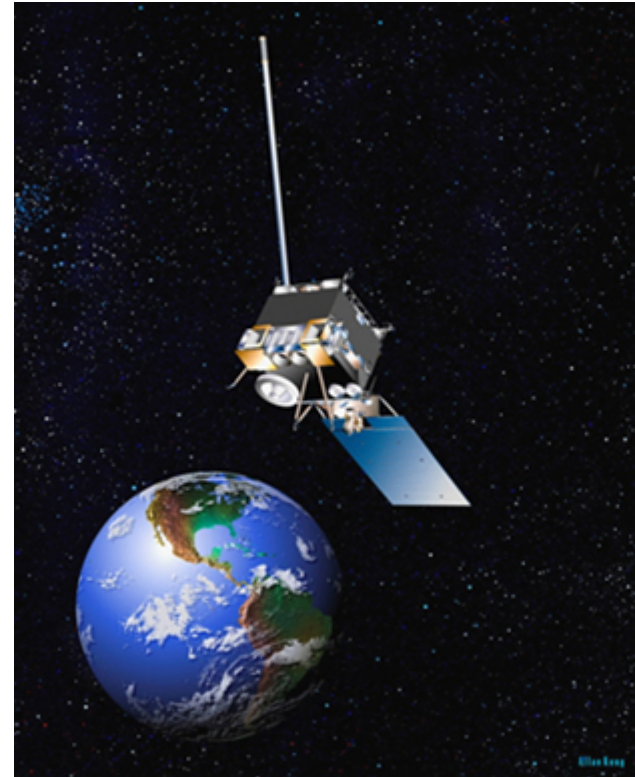


credit: NOAA

Typical Orbital Parameters
Altitude: 35,800 km
Inclination: 0°

Relativistic Electrons at GEO

- Electron data
 - have been corrected for proton contamination
 - for the first time the data have been corrected for dead time
 - dead time correction ranges from a factor of 1.0-1.15 for fluxes around $5000 \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ to ~ 2 for the largest fluxes observed

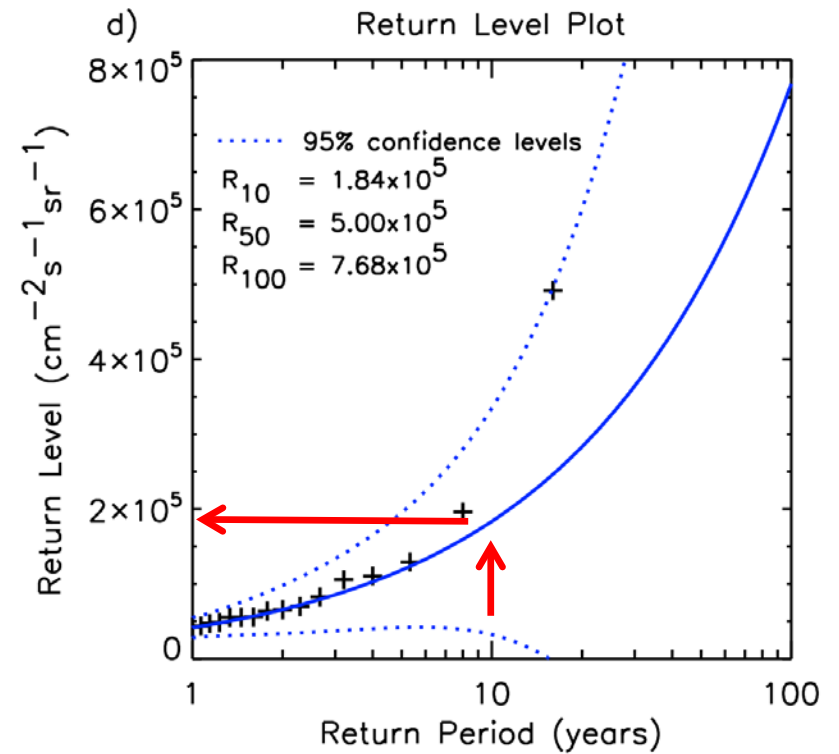


credit: NOAA

Typical Orbital Parameters
Altitude: 35,800 km
Inclination: 0°

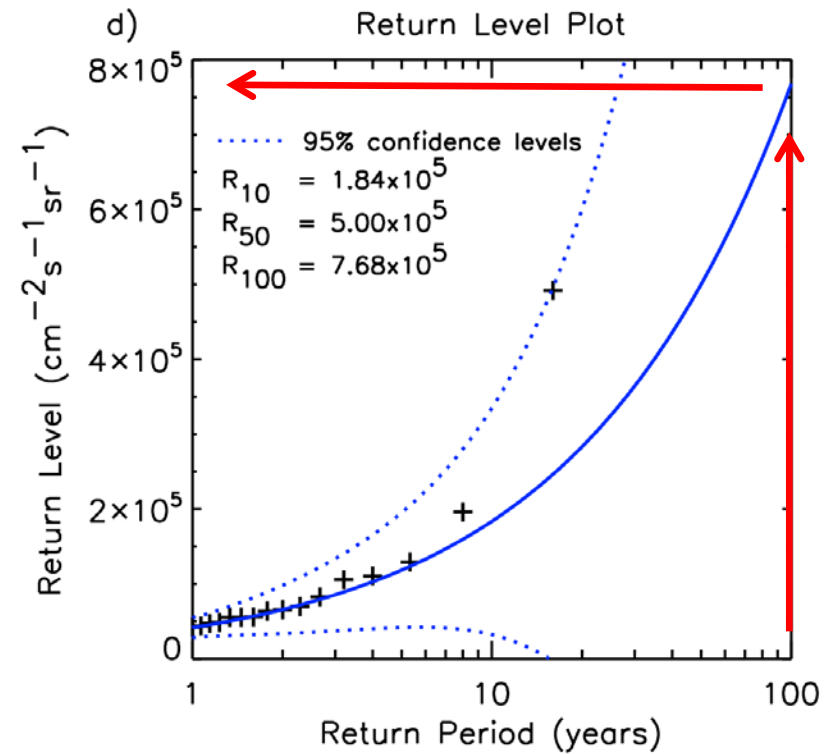
GOES West: Return Level Plot

- One in Ten Year Flux
 - $1.84 \times 10^5 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$



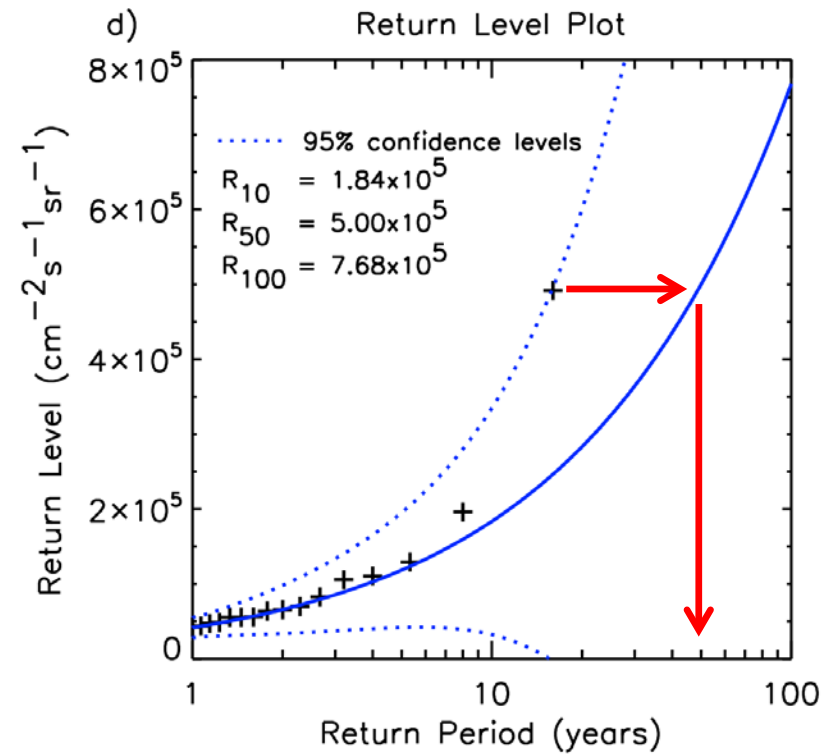
GOES West: Return Level Plot

- One in Ten Year Flux
 - $1.84 \times 10^5 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$
- One in One Hundred Year Flux
 - $7.68 \times 10^5 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$



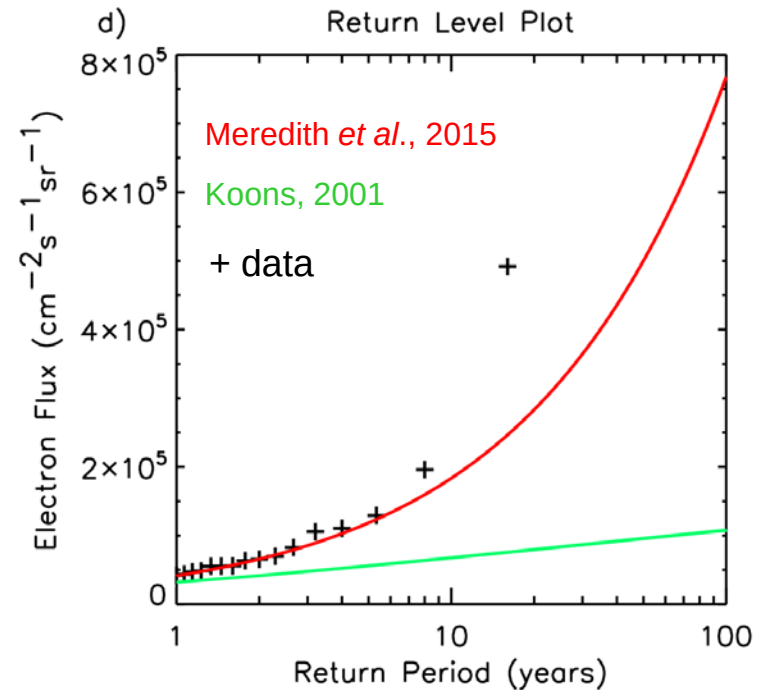
GOES West: Return Level Plot

- Largest observed flux is a one in fifty year event



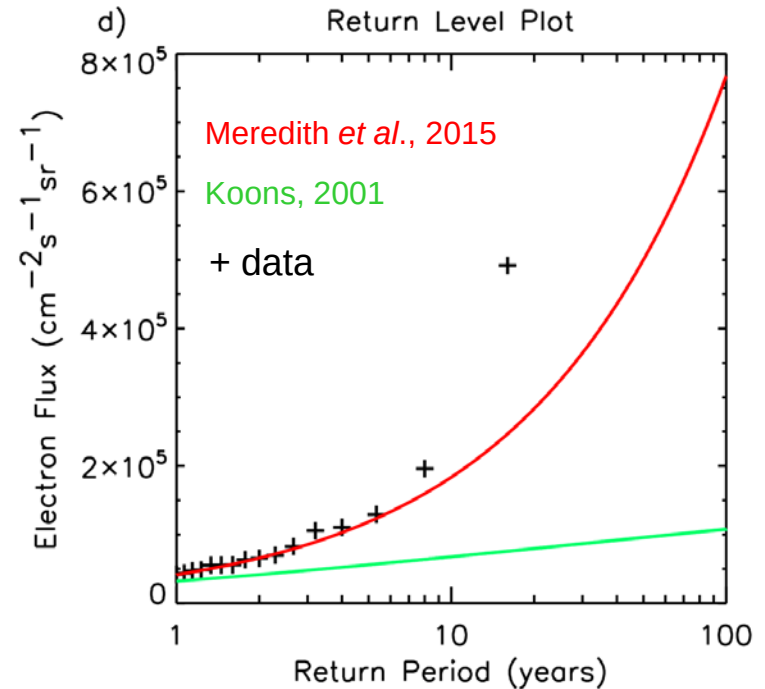
Comparison with Koons [2001] Study

- Our results are significantly larger than those presented in Koons [2001]
- The 1 in 10 year event at GOES West is about a factor of 2.7 times that estimated by Koons [2001]
- For more extreme events, the 1 in 100 year event at GOES West is about a factor of 7 times that estimated by Koons [2001]



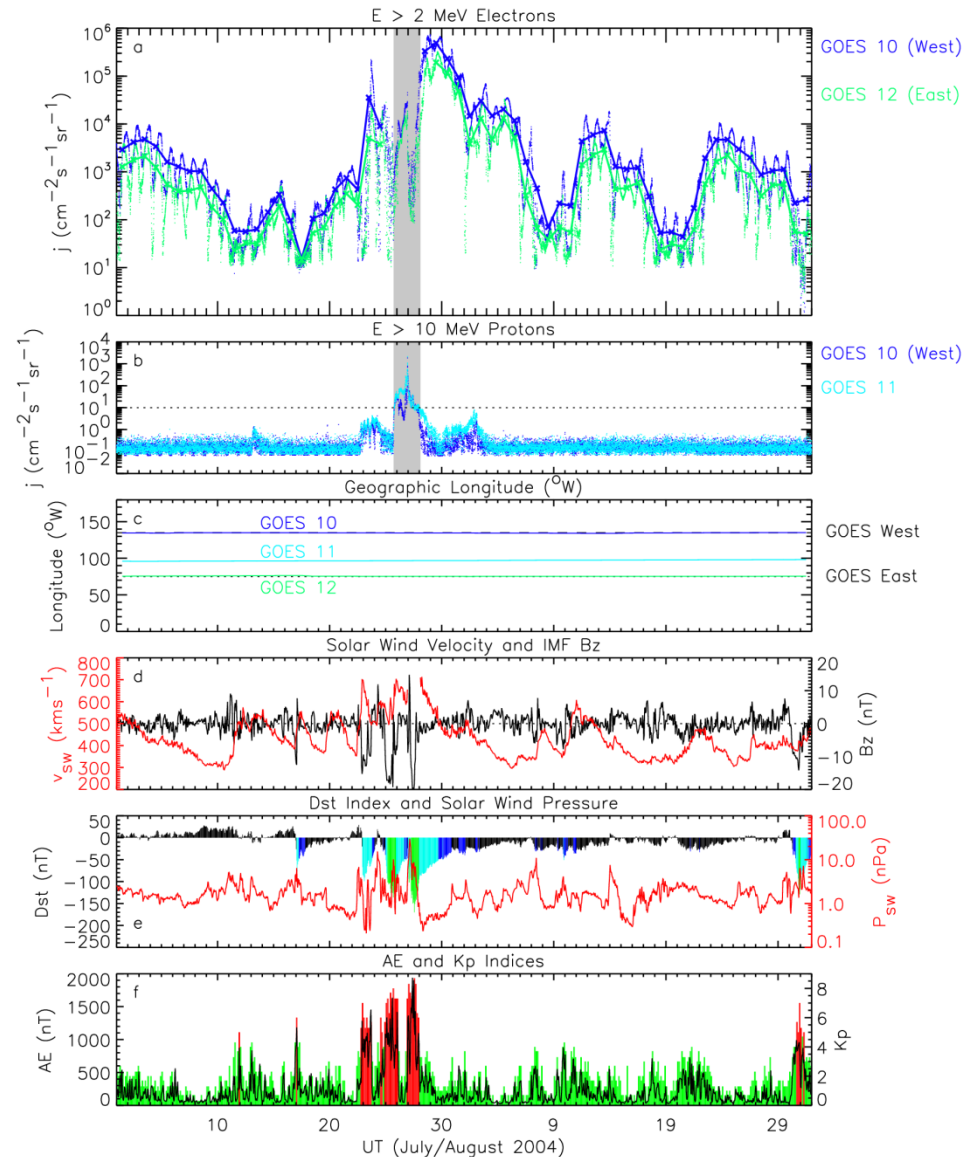
Impact

- These new results:
 - have been used to update the figures in the UK National Risk Register
 - have been used in the evaluation of satellite tenders
 - are being used in the EU SPACESTORM project to help assess the impact of an extreme event on satellite materials



July/August 2004

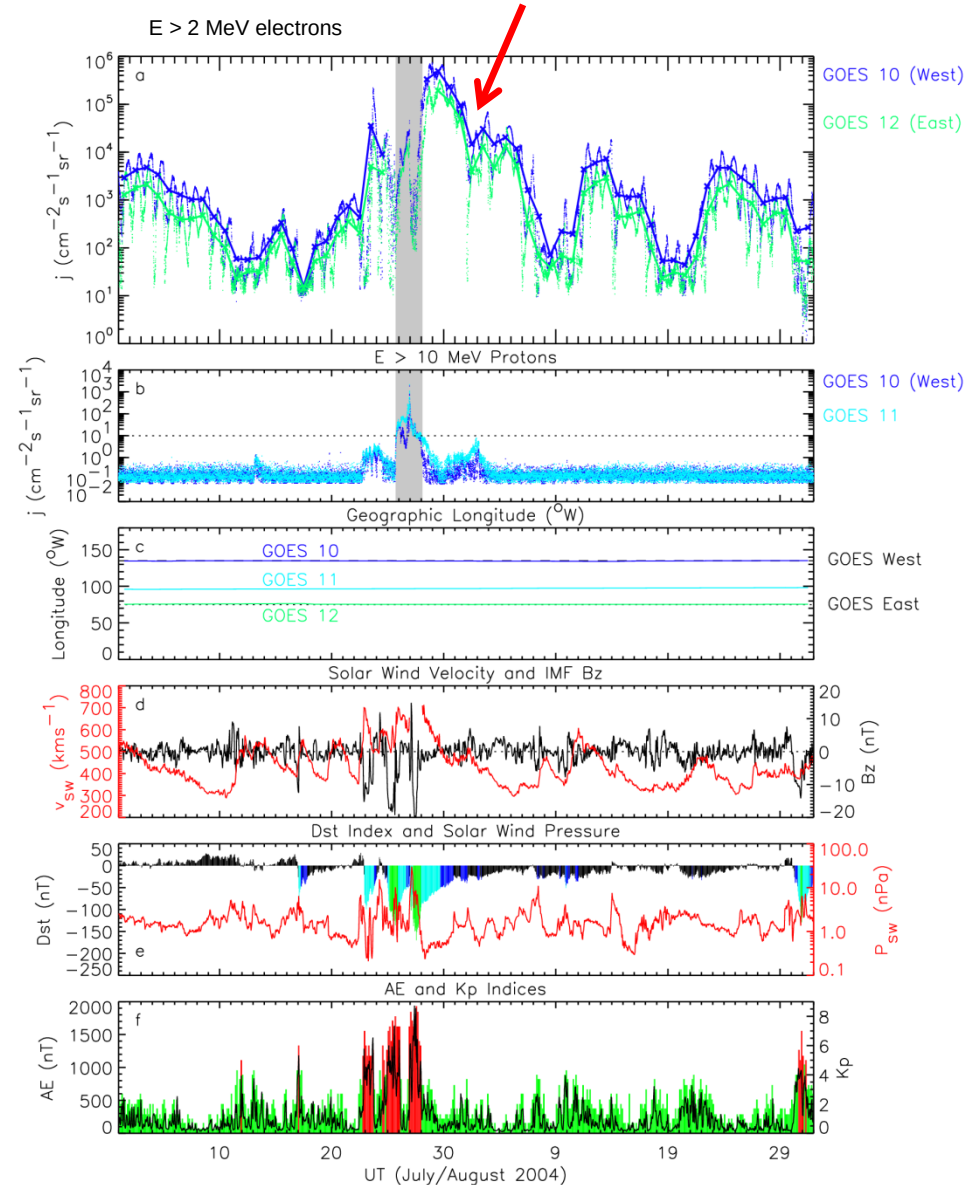
- Largest $E > 2$ MeV flux of $4.91 \times 10^5 \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ observed at GOES-West on 29th July 2004
- Coincided with the largest $E > 2$ MeV flux of $1.93 \times 10^5 \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ at GOES-East
- Independent measurements of this extreme flux event suggests the flux event is real
- GOES-West flux exceeded $10,000 \text{ cm}^{-2}\text{s}^{-1}\text{sr}^{-1}$ for nine consecutive days from 28th July to 5th August



July/August 2004

- On 3 August, during the extended period of enhanced $E > 2$ MeV electron fluxes, Galaxy 10R lost its secondary xenon ion propulsion system [Choi *et al.*, 2011]
- This reduced its lifetime significantly resulting in an insurance payout of US \$75.3 M

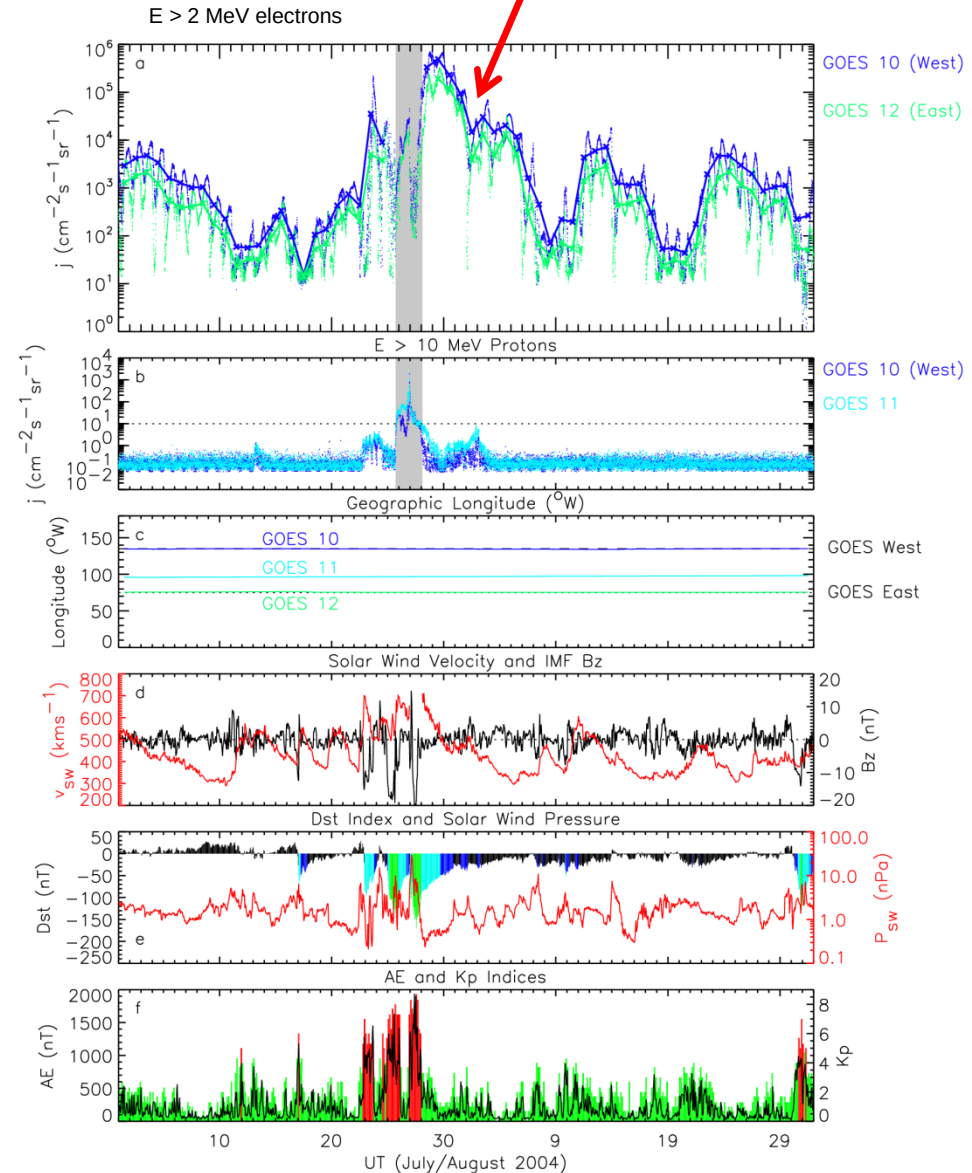
Galaxy 10 R secondary
XIPS failure



What Caused the Extreme Event ?

- Three consecutive storms
- IMF Bz remained southward for significant periods during recovery phase of each storm
- Average value of AE index around 900 nT for first 10 hours of each recovery phase
- Such high and sustained levels of AE are likely to be associated with
 - strong and sustained levels of whistler mode chorus
 - elevated seed electrons
 - strong acceleration of electrons to relativistic energies

Galaxy 10 R secondary XIPS failure



Internal Charging Currents at MEO

- Study uses data from ESA's Giove-A satellite
- This satellite was the first test satellite of the Galileo GNSS
- It was launched in December 2005 to
 - test technology in orbit
 - claim frequencies allocated to Galileo



credit: ESA

Orbital Parameters	
Altitude:	23,300 km
Inclination:	56°
Period:	14 hours

Internal Charging Currents at MEO

- Giove-A was initially designed with a lifetime of 27 months
- This lifetime has been greatly exceeded and the satellite continues to acquire good data
- For this study we use data from the SURF internal charging monitor
- Use data from 29th December 2005 to 5th January 2016



credit: ESA

Orbital Parameters

Altitude:	23,300 km
Inclination:	56°
Period:	14 hours

SURF Internal Charging Monitor

- SURF is designed to measure the small currents which penetrate spacecraft surfaces and cause internal charging
- consists of three aluminium collector plates mounted in a stack
- each plate is connected to an electrometer to measure the deposited current
- measured currents lie in the range of fAcm^{-2} to pAcm^{-2}

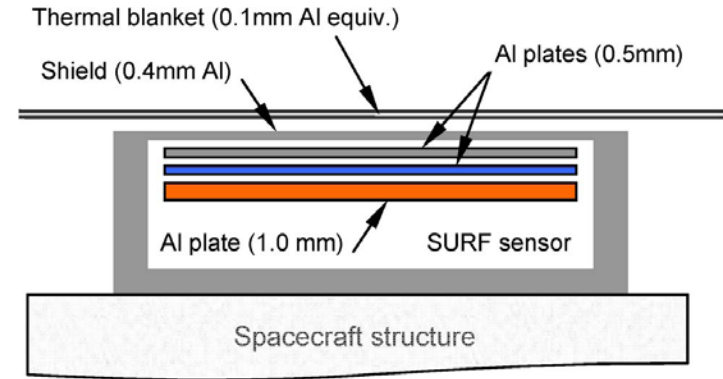


Plate	Threshold	Peak Response
Top	500 keV	700-900 keV
Middle	700 keV	1.1-1.4 MeV
Bottom	900 keV	1.6-2.0 MeV

Data Analysis

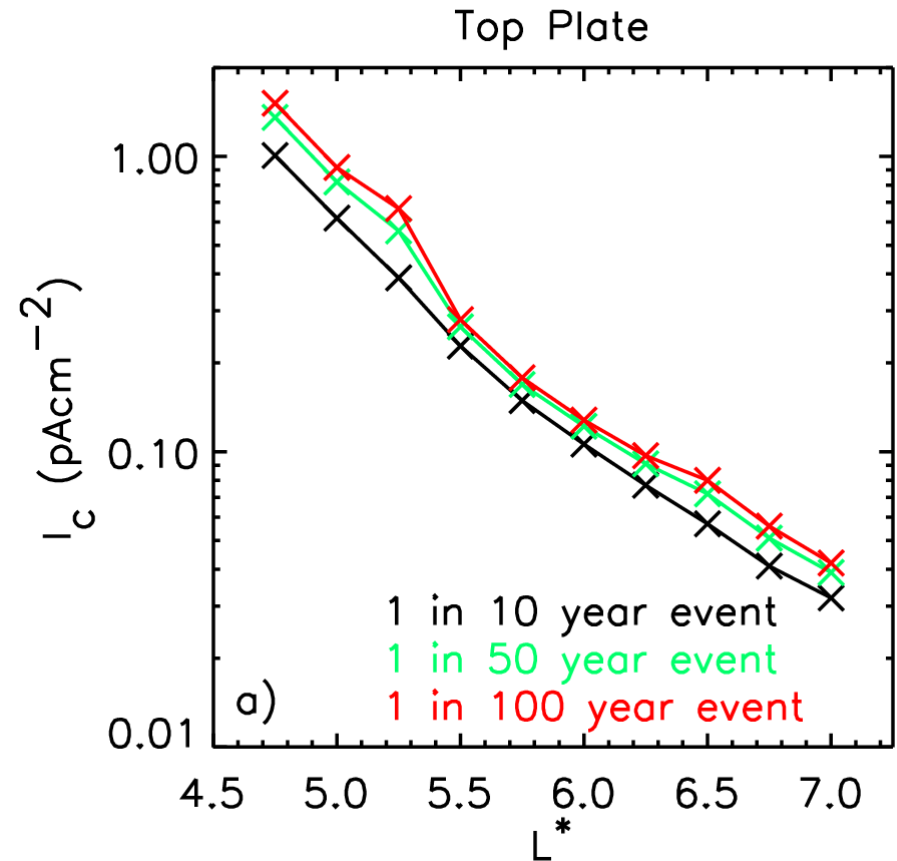
- We determined the daily-averaged plate currents as a function of L^* for 10 evenly spaced values of L^* from $L^*=4.75$ to $L^* = 7.00$
 - ~3025 good quality data points at each L^* corresponding to 8.3 years of operational data

Data Analysis

- We determined the daily-averaged plate currents as a function of L^* for 10 evenly spaced values of L^* from $L^*=4.75$ to $L^* = 7.00$
 - ~3025 good quality data points at each L^* corresponding to 8.3 years of operational data
- To compare with engineering standards we also calculated the daily averaged plate currents averaged along the orbit path
 - to ensure good coverage used days with $> 50\%$ coverage
 - 2758 good quality data points corresponding to 7.6 years of operational data

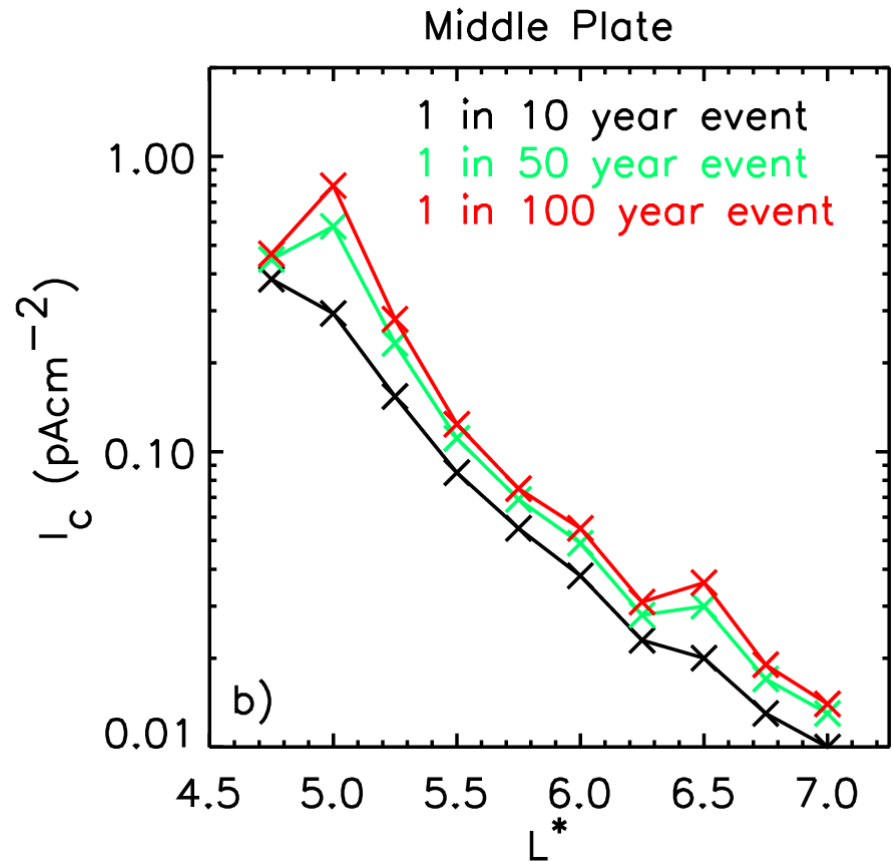
Top Plate: 1 in N Year Event Levels

- 1 in 10 year top plate current
 - decreases with increasing L^*
 - ranges from 1.0 pAcm^{-2} at $L^* = 4.75$ to 0.03 pAcm^{-2} at $L^* = 7.0$
- 1 in 100 year top plate current is generally a factor of 1.2 – 1.8 times larger than the 1 in 10 year event



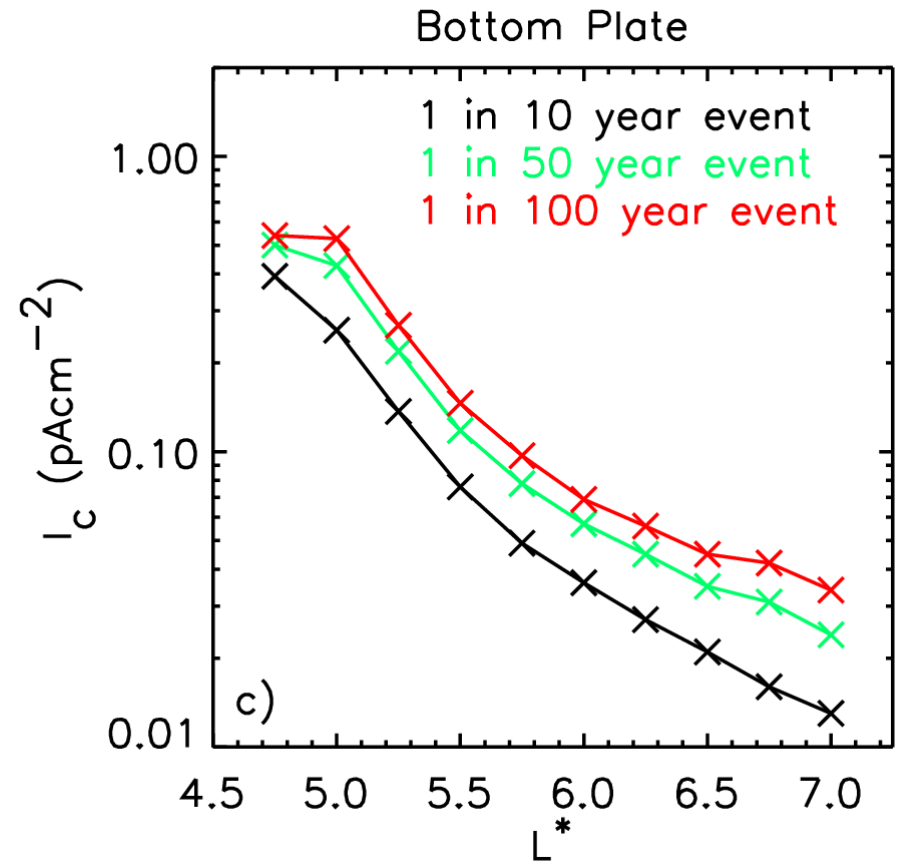
Middle Plate: 1 in N Year Event Levels

- 1 in 10 year middle plate current
 - decreases with increasing L^*
 - ranges from 0.4 pAcm^{-2} at $L^* = 4.75$ to 0.01 pAcm^{-2} at $L^* = 7.0$
- 1 in 100 year middle plate current is generally a factor of 1.2 – 2.7 times larger than the 1 in 10 year event



Bottom Plate: 1 in N Year Event Levels

- 1 in 10 year bottom plate current
 - decreases with increasing L^*
 - ranges from 0.4 pAcm⁻² at $L^* = 4.75$ to 0.01 pAcm⁻² at $L^* = 7.0$
- 1 in 100 year bottom plate current is generally a factor of 1.4 – 2.6 times larger than the 1 in 10 year event

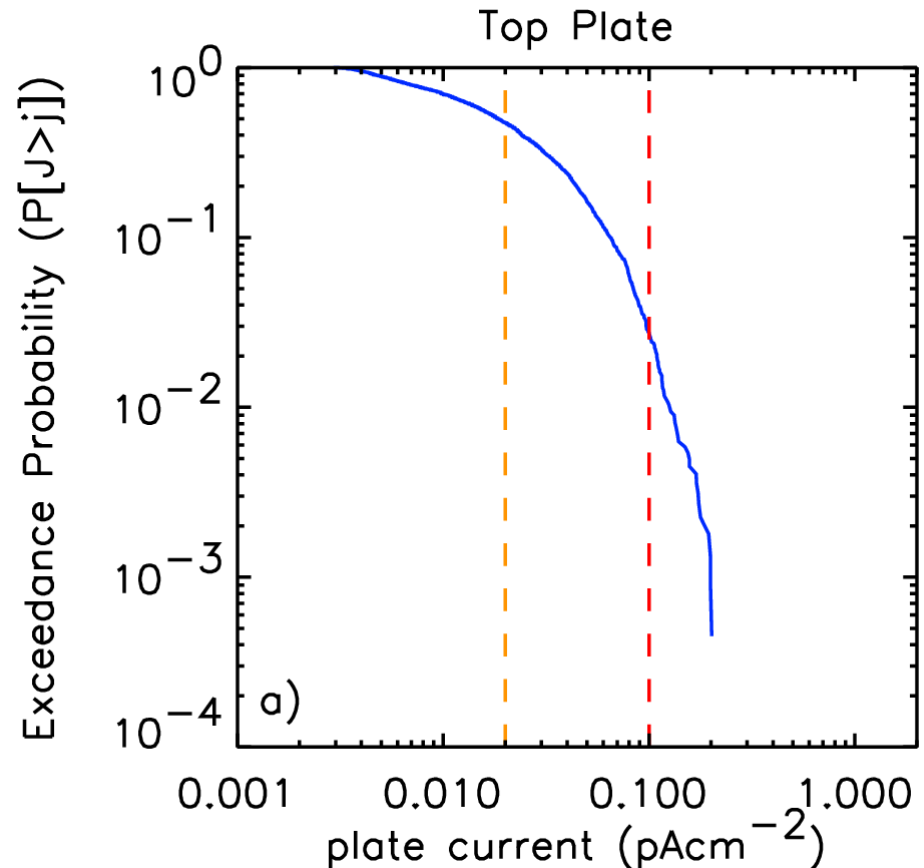


Comparison with Engineering Design Standards

- Both NASA and the European Cooperation for Space Standardization (ECSS) have guidelines on charging current
 - a maximum average current of 0.1 pAcm^{-2} over a 24 hour period is commonly adopted
- For dielectrics operating at temperatures less than 25°C the ECSS have adopted a threshold of 0.02 pAcm^{-2}
- For comparison with engineering design standards we repeated the analysis using daily-averaged plate currents over the entire orbit path

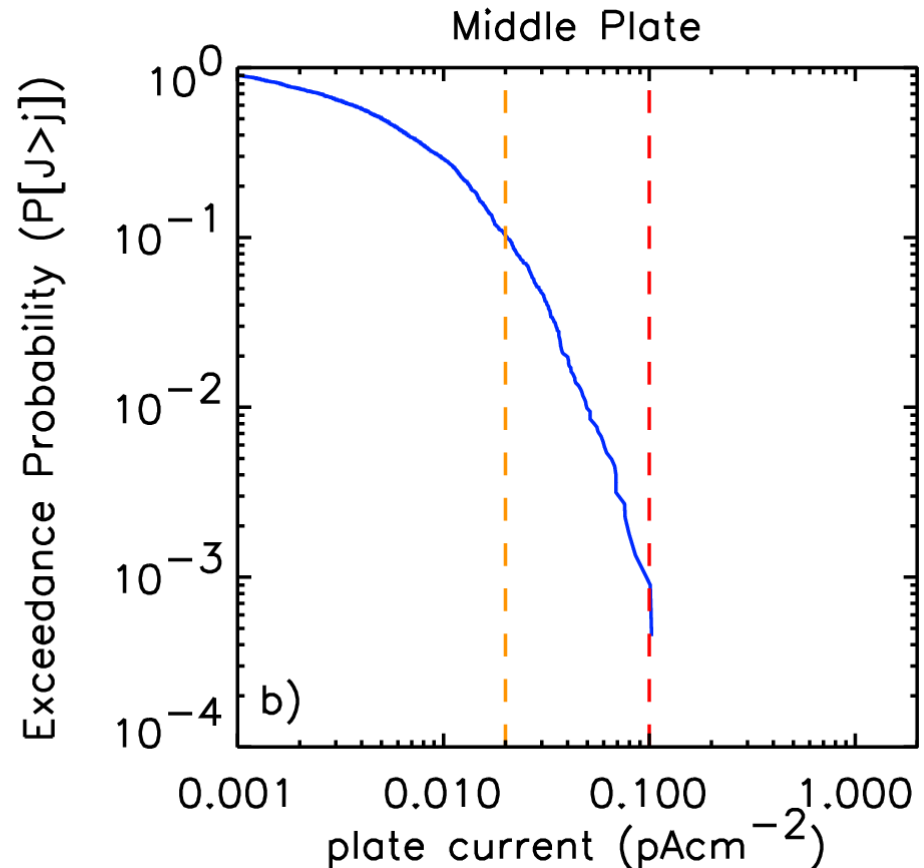
Daily-Averaged Top Plate Currents Averaged Along Orbit Path

- Top plate currents cover just under two orders of magnitude ranging from 0.003 to 0.2 pAcm^{-2}
- Lower design threshold is exceeded on 1045 days (47% of days)
- Upper design threshold is exceeded on 60 days (2.7% of days)



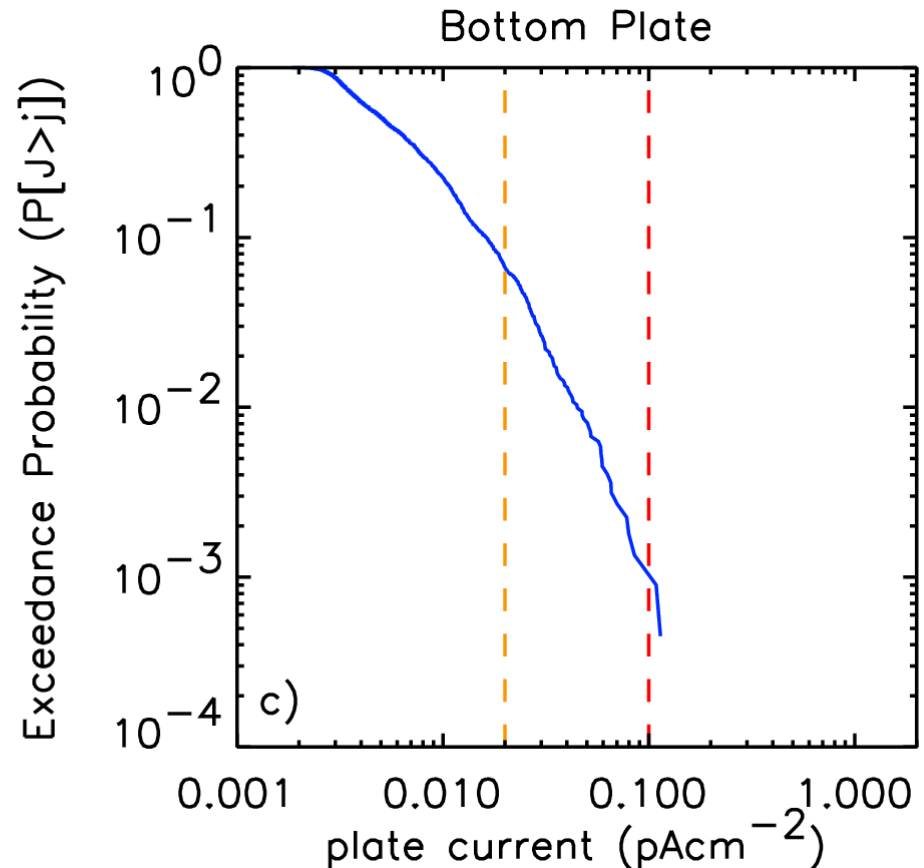
Daily-Averaged Middle Plate Currents Averaged Along Orbit Path

- Middle plate currents cover two orders of magnitude ranging from 0.001 to 0.1 pAcm^{-2}
- Lower design threshold is exceeded on 222 days (10% of days)
- Upper design threshold is exceeded on 3 days (0.1% of days)



Daily-Averaged Bottom Plate Currents Averaged Along Orbit Path

- Bottom plate currents cover just under two orders of magnitude ranging from 0.002 to 0.1 pAcm^{-2}
- Lower design threshold is exceeded on 149 days (6.7% of days)
- Upper design threshold is exceeded on 3 days (0.1% of days)



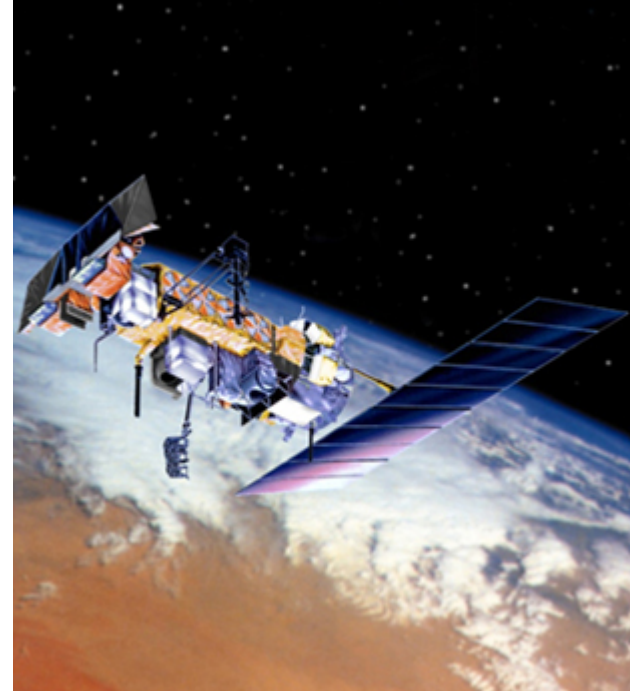
1 in N Year Events Averaged Along Orbit Path

- We also conducted an extreme value analysis of the daily-averaged plate currents averaged along the orbit path
- The 1 in 10 year top, middle and bottom plate currents are 0.22, 0.094 and 0.094 pAcm^{-2} respectively
- The 1 in 100 year top, middle and bottom plate currents are factors of 1.1, 1.3 and 1.4 times larger than the corresponding 1 in 10 year event
- The return periods of the 0.1 pAcm^{-2} upper design threshold are 113 days, 16.1 years and 13.3 years for the top, middle and bottom plates respectively

Energetic Electrons at LEO

- We used the 2 s resolution $E > 30$ keV, $E > 100$ keV, and $E > 300$ keV MEPED electron data from NOAA15 to NOAA19 from 1 July 1998 to 30 June 2014
- We sorted it according to satellite location, corrected for ring current protons, and excluded solar proton events and periods of poor quality data
- We calculated the maximum flux in each 3 h window as a function of energy and L^*

NOAA-19



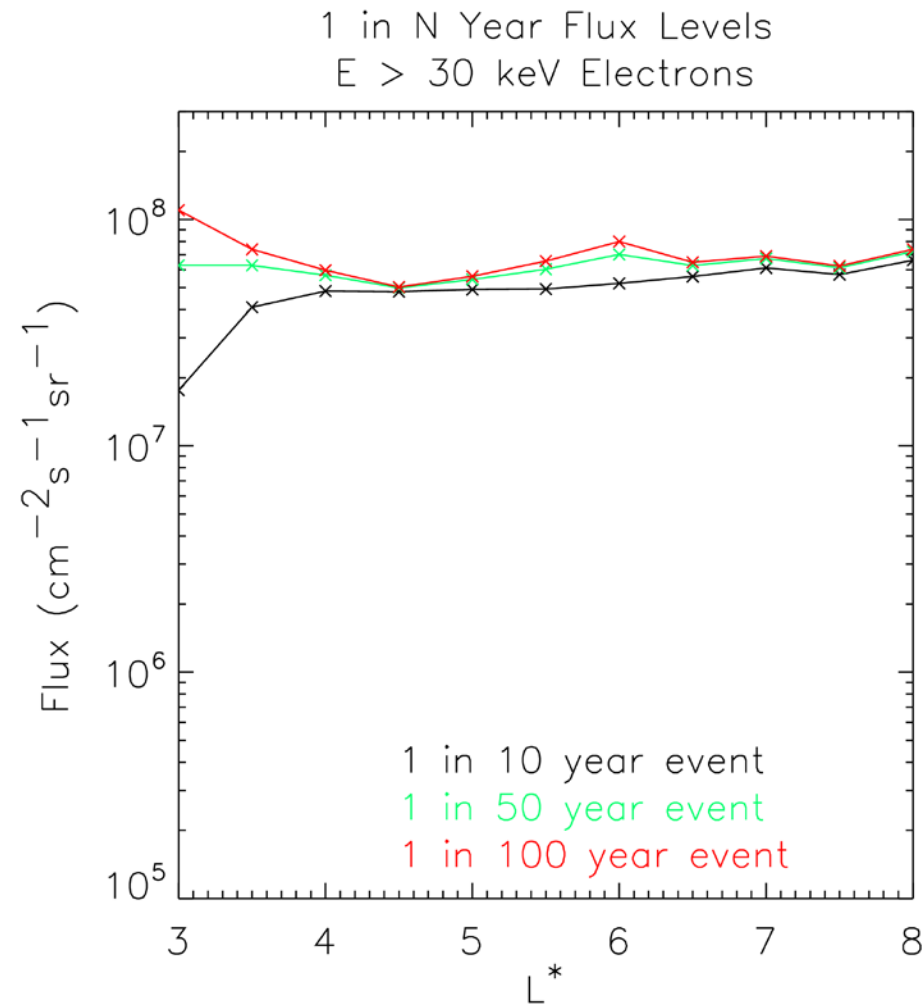
credit: NOAA

Typical Orbital Parameters

Altitude:	854 km
Inclination:	98.7°
Period:	102.1 min

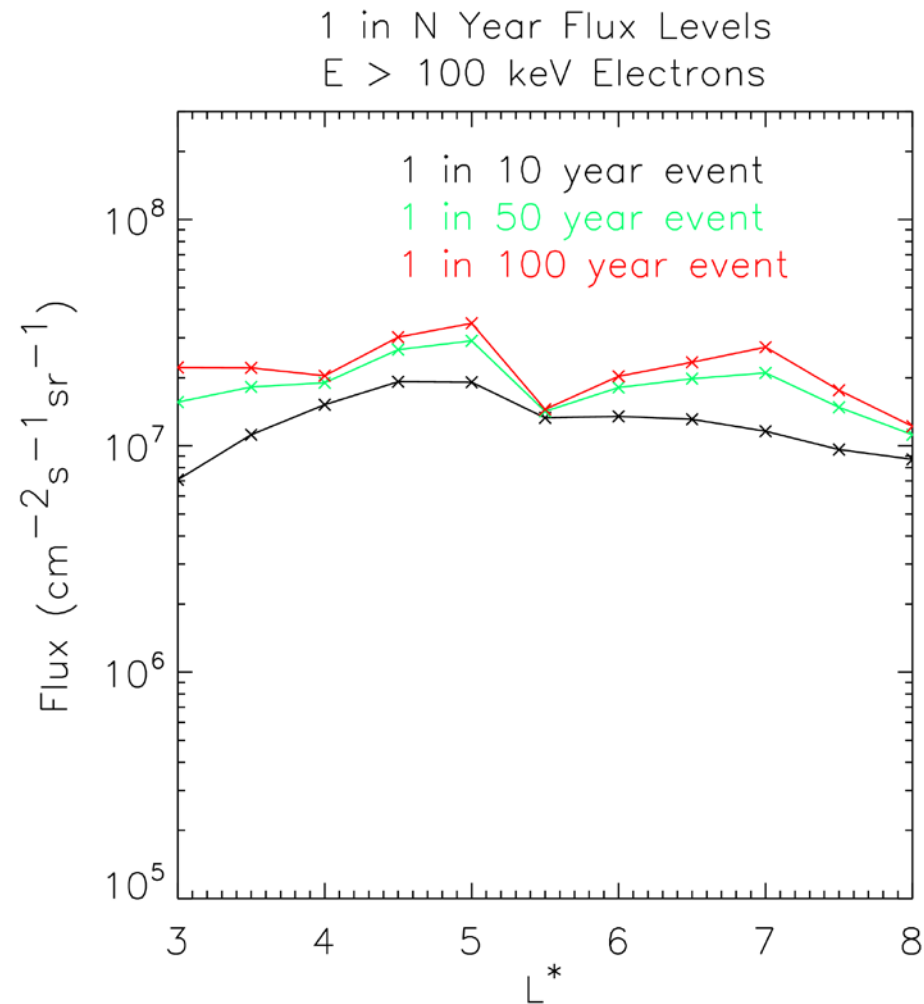
$E > 30$ keV Electrons: 1 in N Year Event Levels

- The 1 in 10 year flux of $E > 30$ keV electrons (black line) shows a gradual increasing trend with L^* ranging from $1.8 \times 10^7 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 3.0$ to $6.6 \times 10^7 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 8.0$
- The 1 in 100 year flux (red line) is generally a factor of 1.1 to 1.5 larger than the corresponding 1 in 10 year event



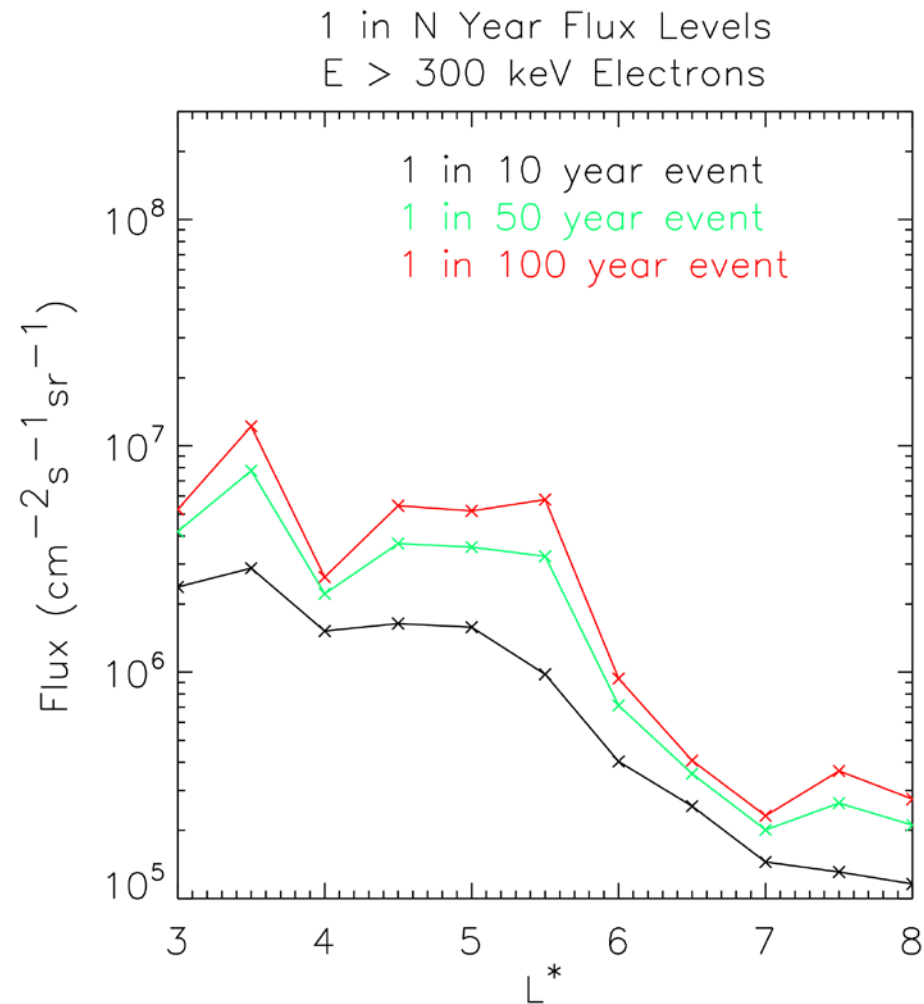
$E > 100$ keV Electrons: 1 in N Year Event Levels

- The 1 in 10 year flux of $E > 100$ keV electrons (black line) peaks at $1.9 \times 10^7 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 4.5\text{--}5.0$ decreasing to minima of 7.1×10^6 and $8.7 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 3.0$ and 8.0 respectively
- The 1 in 100 year event is a factor of 1.1 to 3.1 larger than the corresponding 1 in 10 year event



$E > 300$ keV Electrons: 1 in N Year Event Levels

- In contrast to the $E > 30$ keV electrons, the 1 in 10 year flux of $E > 300$ keV electrons shows a general decreasing trend with L^* ranging from $2.4 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 3.0$ to $1.2 \times 10^5 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 8.0$
- The 1 in 100 year event (red line) is a factor of 1.7 to 5.9 larger than the corresponding 1 in 10 year event



Implications for Electric Orbit Raising

- The fact that potentially large fluxes of energetic electrons can penetrate as low as $L^* = 3.0$ is a concern for electric orbit raising [e.g., Horne and Pitchford, 2015]
- The situation is most severe at higher energies
- For example, at the 0.1% exceedance level, the flux of $E > 300$ keV electrons is almost an order of magnitude higher at $L^* = 3.0$ than that at geosynchronous orbit
- This suggests that satellites undergoing electric orbit raising could experience considerably more damaging radiation than normally encountered at geosynchronous orbit

Conclusions

- The 1 in 10 and 1 in 100 year fluxes of $E > 2$ MeV electrons at GEO are 3 and 7 times larger than previous estimates
- The largest flux of $E > 2$ MeV electrons observed at GEO was a 1 in 50 year event
- The 1 in 10 year plate middle plate currents in MEO range from 0.4 pA cm^{-2} at $L^* = 4.75$ to 0.01 pA cm^{-2} at $L^* = 7.0$
- The 1 in 10 year $E > 30$ keV electron flux in LEO increases with L^* , ranging from $1.8 \times 10^7 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 3.0$ to $6.6 \times 10^7 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 8.0$
- The 1 in 10 year $E > 300$ keV electron flux in LEO decreases with L^* ranging from $2.4 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 3.0$ to $1.2 \times 10^5 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ at $L^* = 8.0$

Conclusions

- The 1 in N year event values provide “benchmarks” to compare against current or previous space weather conditions
- The results may also be used to compute the return period of any given space weather event to determine if the event was particularly extreme



Acknowledgements

- The research leading to these results has received funding from the European Union Seventh Framework Programme (FP7/2007-2013) under grant agreements number 606716 (SPACESTORM) and is also supported in part by the UK Natural Environment Research Council



ILMATIETEEN LAITOS
METEOROLOGISKA INSTITUTET
FINNISH METEOROLOGICAL INSTITUTE

